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Cindy Baglietto

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PATENT

Attorney Docket No. 26391-702

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

PATENT APPLICATION

Inventor(s): Jiwei R. Wang

Art Unit: Not Yet Assigned

Application No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Herewith

Title **Method and Apparatus for Providing Service,
Selection, Policing, Redirection and Managing
Subscriber Access to Multiple WAP (Wireless
Application Protocol) Gateways Simultaneously
in a Wireless Data Network**



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$ 0 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 26391-702).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated:

August 20, 2001

By:

David J. Weitz
David J. Weitz, Reg. No. 38,162

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(650) 493-9300
Customer No. 021971

INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 26391-702		SERIAL NO. Not Yet Assigned			
		APPLICANT Jiwei R. Wang					
		FILING DATE Herewith		GROUP: Not Yet Assigned			
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	FILING DATE		
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		"Wireless Application Protocol (WAP) Specification"; version 1.2.1, June 2000, WAP Forum; http://www.wapforum.com/what/technical.htm ; pgs. 1-5					
		"WAP Wireless Session Protocol Specification", version 4-May-2000; Wireless Application Protocol Forum Ltd. 2000; pp. 63-70					
		"WAP Wireless Transaction Protocol Specification", version 19-February-2000, Wireless Application Protocol Forum 2000; pp. 22-66					
		"WAP Wireless Datagram Protocol Specification"; version 19-February-2000; Wireless Application Protocol Forum Ltd. 2000; pp. 23-50					
		"WAP Architecture Specification", version 30-April-1998; Wireless Application Protocol Forum, Ltd.; 1998					
		"WAP Wireless Transport Layer Security Specification", version 18-February-2000; Wireless Application Forum, Ltd. 2000					
		J. Postel; "User Datagram Protocol"; IETF RFC-768; 28-August-1980; http://ftp.isi.edu/in-notes/rfc768.txt ; pp. 1-3					
		"Internet Protocol"; IETF RFC-791; September 1981; http://ftp.isi.edu/in-notes/rfc791.txt ; pp. 1-46					
		R. Fielding, et al. "Hypertext Transfer Protocol-HTTP/1.1"; IETF RFC-2068; The Internet Society 1999.					
		"Open Service Architecture, Application Programming Interface-Part 1 (Release 1999); 3GPP TS 29.198 v 3.2.0, 3 rd Generation Partnership Project; December 2000.					
		"WAP Architecture Specification"; version 17-October-2000; Wireless Application Protocol Forum, Ltd., 2000-2001					
		"WAP TLS Profile and Tunneling Specification", prototype 11-April-2001; Wireless Application Protocol Forum, Ltd. 2001					
EXAMINER							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	"Exalink Licenses Certicom's WTLS Plus & SSL Plus to Enable Secure WAP Gateway for M-Commerce Solutions"; http://www.certicom.com/about/pr/00/000509-1.html ; pp. 1-3						
	"Exalink to WAP-ify Comverse Backbone for \$480 M"; http://www.ucalert.com/2000_issues/0700-04.html ; pp. 3-4						
EXAMINER							

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